



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 145415.00001

Group Art Unit: 2131)

Examiner: N/A)

Inventors: Morrison)

**PRELIMINARY
AMENDMENT**

Serial No. 09/773,487)

Filed: February 2, 2001)

For: PROCESSES AND SYSTEMS)
FOR ENABLING SECURE AND)
CONTROLLED DISTRIBUTION)
AND USE OF INFORMATION)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on June 6, 2001.

By

Box

NON-FEE AMENDMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Preliminary to examination of the above-identified patent application, please enter and consider the following amendments:

IN THE SPECIFICATION:

Please delete lines 6-7 on page 15.

Please replace lines 9-10 on page 15 with the following:

Figure 3 is a diagrammatic illustration of a preferred embodiment of the present invention for protecting data;

Please replace lines 12-13 on page 15 with the following:

Figure 5 is a plan view of a portion of the diskette of Figure 4 but particularly illustrating a method of modifying the magnetization of the diskette by laser according to the present invention;

Figure 6 is a plan view of the major portion of an optically-readable medium illustrating the present security in the form of optically-readable data stored thereon;

Figure 7a is a view of a portion of the optically-readable medium of Figure 6 illustrating the location where a unique identifier may be placed according to the present invention;

Figure 7b is a raised elevational view of a standard configuration of data physically positioned on converted optically-readable media;

Figure 7c is a raised elevated view of a configuration of data physically positioned on optically-readable media according to the present invention;

Please replace lines 32-33 on page 15 with the following:

Figure 8 is a view of a portion of the optically-readable medium of Figure 6 having a pre-programmed identifying chip operatively associated therewith;

Please replace lines 1-3 on page 16 with the following:

Figure 9 is a view of a portion of the optically-readable medium of Figure 6 relative to a co-operating media-driven hub according to an alternate embodiment of the preferred invention;

Please replace lines 5-7 on page 16 with the following:

Figure 10 is substantially a side view of a portion of the optically-readable media of Figure 7 with a blown-up, edge-on view of the code-containing portion of the media associated therewith;

Please replace lines 9-10 on page 16 with the following:

Figure 11 is a diagrammatic view of a projector security embodiment of the present invention;

Please replace lines 12-13 on page 16 with the following:

Figure 12 is a side-elevational view of a system for prohibiting replication of visualizable media; and

Please replace lines 15-16 on page 16 with the following:

Figure 13 is a side-elevational view of a system for limiting use of a visualizable media to a specified projection device.

09773487 060701

REMARKS

This Preliminary Amendment is filed to remove Figure 3 from the Brief Description of the Drawings as well as any reference to this particular figure. Additionally, all subsequent figures have been renumbered. Applicant respectfully request entry of this amendment prior to calculation of the filing fee and examination of the application.

MARKED-UP CHANGES

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. This attached page is captioned "**VERSION WITH MARKINGS TO SHOW CHANGES MADE.**"



Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Jeffrey P. Kushan'.

Jeffrey P. Kushan
Registration No. 43,401
Attorney for Applicant

POWELL, GOLDSTEIN, FRAZER & MURPHY LLP
P.O. Box 97223
Washington, D.C. 20090-7223
(202) 347-0066

Dated: June 6, 2001

JPK/db

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE SPECIFICATION:

Please delete lines 6-7 on page 15.

[Figure 3 is a schematic of a system incorporating both the lock system of Figure 1 and the communication system of Figure 2.]

Please replace lines 9-10 on page 15 with the following:

Figure [4]3 is a diagrammatic illustration of a preferred embodiment of the present invention for protecting data;

Please replace lines 12-13 on page 15 with the following:

Figure [5]4 is a plan view of a diskette illustrating the typical composition of a computer-readable medium;

Please replace lines 15-17 on page 15 with the following:

Figure [6]5 is a plan view of a portion of the diskette of Figure [5]4 but particularly illustrating a method of modifying the magnetization of the diskette by laser according to the present invention;

Please replace lines 19-20 on page 15 with the following:

Figure [7]6 is a plan view of the major portion of an optically-readable medium illustrating the present security in the form of optically-readable data stored thereon;

Please replace lines 22-24 on page 15 with the following:

09773487-060701

Figure [8a]7a is a view of a portion of the optically-readable medium of Figure 6 illustrating the location where a unique identifier may be placed according to the present invention;

Please replace lines 26-27 on page 15 with the following:

Figure [8b]7b is a raised elevational view of a standard configuration of data physically positioned on converted optically-readable media;

Please replace lines 29-30 on page 15 with the following:

Figure [8c]7c is a raised elevated view of a configuration of data physically positioned on optically-readable media according to the present invention;

Please replace lines 32-33 on page 15 with the following:

Figure [9]8 is a view of a portion of the optically-readable medium of Figure [7]6 having a pre-programmed identifying chip operatively associated therewith;

Please replace lines 1-3 on page 16 with the following:

Figure [10]9 is a view of a portion of the optically-readable medium of Figure [7]6 relative to a co-operating media-driven hub according to an alternate embodiment of the preferred invention;

Please replace lines 5-7 on page 16 with the following:

0973487-060701
T02090-684460

Figure [11]10 is substantially a side view of a portion of the optically-readable media of Figure 7 with a blown-up, edge-on view of the code-containing portion of the media associated therewith;

Please replace lines 9-10 on page 16 with the following:

Figure [12]11 is a diagrammatic view of a projector security embodiment of the present invention;

Please replace lines 12-13 on page 16 with the following:

Figure [13]12 is a side-elevational view of a system for prohibiting replication of visualizable media; and

Please replace lines 15-16 on page 16 with the following:

Figure [14]13 is a side-elevational view of a system for limiting use of a visualizable media to a specified projection device.

145415.00001-00001